UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

07/27/2006

NAVAL AIR WARFARE CENTER WEAPONS DIVISION CODE K00000D 1 ADMINISTRATION CIRCLE STOP 1009 **RIDGECREST, CA 93555-6100** 

| EXA      | EXAMINER  LE, DUNG ANH  FUNIT PAPER NUMBER |
|----------|--|
| LE, DI   |  |
| ART UNIT | PAPER NUMBER                               |
| 2818     |  |

DATE MAILED: 07/27/2006

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/699 440      | 10/29/2003  | M. Joseph Roberts    | 95801               | 3258             |

10/699,440

10/29/2003

M. Joseph Roberts

3258

TITLE OF INVENTION: SURFACE IMPRINTED FILMS WITH CARBON NANOTUBES

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1400        | \$0                 | \$0                  | \$1400           | 10/27/2006 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

| appropriate. All further  | correspondence includired below or directed oth   | ig the Patent, advance of   | rders and notification of r  | naintenance fees w  | ill be  | mailed to the current  | correspondence address as rate "FEE ADDRESS" for   |
|---|---|---|--|---|---|--|--|
|   | ENCE ADDRESS (Note: Use BI  | ock 1 for any change of address)  | Not<br>Fee<br>pap<br>have  | e: A certificate of<br>(s) Transmittal. Thi<br>ers. Each additiona<br>e its own certificate                   | mailin<br>s certii<br>l paper<br>of ma            | g can only be used for<br>ficate cannot be used for<br>r, such as an assignmen<br>iling or transmission.                         | r domestic mailings of the<br>or any other accompanying<br>nt or formal drawing, must  |
| CODE K00000I<br>1 ADMINISTRA  | )<br>ATION CIRCLE ST  | ΓER WEAPONS I   | DIVISION I he<br>Stat<br>addi<br>tran  | Cer<br>reby certify that th<br>es Postal Service w<br>ressed to the Mail<br>smitted to the USP                | tificate<br>is Fee(<br>vith sub<br>Stop<br>TO (57 | e of Mailing or Transi<br>s) Transmittal is being<br>fficient postage for firs<br>ISSUE FEE address<br>'1) 273-2885, on the da   | nission deposited with the United t class mail in an envelope above, or being facsimile ate indicated below.                         |
| RIDGECREST,   | CA 93555-6100   |   |  |   |   |  | (Depositor's name)   |
|   |   |   |  |   |   |  | (Signature)  |
|   |   |   |  |   |   |  | (Date)   |
| APPLICATION NO.   | FILING DATE   |   | FIRST NAMED INVENTOR   |   | ATTO  | RNEY DOCKET NO.  | CONFIRMATION NO.   |
| 10/699,440  | 10/29/2003  |   | M. Joseph Roberts  |   |   | 95801  | 3258   |
| TITLE OF INVENTION  | I: SURFACE IMPRINTE   | ED FILMS WITH CARB  | ON NANOTUBES   |   |   |  |  |
| APPLN. TYPE   | SMALL ENTITY  | ISSUE FEE DUE   | PUBLICATION FEE DUE  | PREV. PAID ISSUI  | E FEE   | TOTAL FEE(S) DUE   | DATE DUE   |
| nonprovisional  | NO  | \$1400  | \$0  | \$0   |   | \$1400   | 10/27/2006   |
| EXAM  | IINER   | ART UNIT  | CLASS-SUBCLASS   | ]   |   |  |  |
| LE, DU  | NG ANH  | 2818  | 438-755000   |   |   |  |  |
| "Fee Address" ind<br>PTO/SB/47; Rev 03-6<br>Number is required.   | ondence address (or Cha<br>B/122) attached.<br>lication (or "Fee Address<br>12 or more recent) attach   | nge of Correspondence  Indication form  Indication form  Indication form  | 2. For printing on the p (1) the names of up to or agents OR, alternati (2) the name of a single registered attorney or a 2 registered patent attollisted, no name will be | o 3 registered paten<br>vely,<br>e firm (having as a<br>agent) and the nam<br>meys or agents. If<br>printed.  | t attor   | per a 2  |  |
| PLEASE NOTE: Un<br>recordation as set fort<br>(A) NAME OF ASSIGNATION   | less an assignee is ident<br>h in 37 CFR 3.11. Comp<br>GNEE   | ified below, no assignee oletion of this form is NO   | T a substitute for filing an  (B) RESIDENCE: (CITY   | atent. If an assign assignment.  Y and STATE OR C   | COUNT   | ΓRY)   | ocument has been filed for   |
| Please check the appropr  | riate assignee category or  | categories (will not be pr  | rinted on the patent):   | Individual U Co   | orporat   | ion or other private gro   | up entity Government   |
|   | are submitted: No small entity discount p   | permitted)  | b. Payment of Fce(s): (Plead   | rd. Form PTO-2038   | is atta   | ached.   | shown above)<br>ficiency, or credit any<br>n extra copy of this form).   |
| 5. Change in Entity Sta   |   |   | Dh. Annilianskiana lan   |   | I EN  | TITY -4-4 S 27 CI  | SP 1 27(-)(2)  |
| NOTE: The Issue Fee an  | s SMALL ENTITY state<br>of Publication Fee (if req  | uired) will not be accepte  | b. Applicant is no lon   |   |   |  | e assignee or other party in   |
| interest as shown by the  | records of the United Sta   | tes Patent and Trademark  | Office.  |   |   | -  |  |
| Authorized Signature  |   |   |  | Date  |   |  |  |
| Typed or printed nam  | ie  |   |  | Registration N  | 10  |  |  |
| This collection of inform<br>an application. Confiden<br>submitting the complete<br>this form and/or suggest<br>Box 1450, Alexandria, V<br>Alexandria, Virginia 223 | nation is required by 37 C<br>tiality is governed by 35<br>d application form to the<br>ions for reducing this bu<br>Virginia 22313-1450. DC<br>113-1450. | CFR 1.311. The information U.S.C. 122 and 37 CFR USPTO. Time will vary riden, should be sent to the ONOT SEND FEES OR | on is required to obtain or 1.14. This collection is esto depending upon the indiversition office COMPLETED FORMS To   | retain a benefit by t<br>timated to take 12 i<br>vidual case. Any co<br>cr, U.S. Patent and<br>O THIS ADDRESS | he pub<br>minute<br>ommen<br>Trader<br>S. SEN     | olic which is to file (and<br>s to complete, includin<br>ts on the amount of tir<br>mark Office, U.S. Depa<br>D TO: Commissioner | by the USPTO to process) g gathering, preparing, and ne you require to complete utment of Commerce, P.O. for Patents, P.O. Box 1450, |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO.   | FILING DATE                        | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.            | CONFIRMATION NO. |  |  |  |
|---|------------------------------------|----------------------|--------------------------------|------------------|--|--|--|
| 10/699,440  | 10/29/2003                         | M. Joseph Roberts    | 95801                          | 3258             |  |  |  |
| 7   | 590 07/27/2006                     | EXAM                 | EXAMINER                       |                  |  |  |  |
| NAVAL AIR WARFARE CENTER WEAPONS DIVISION<br>CODE K00000D |                                    |                      | LE, DUN                        | LE, DUNG ANH     |  |  |  |
|   |                                    |                      | ART UNIT                       | PAPER NUMBER     |  |  |  |
| i administrat<br>Ridgecrest, c                            | FION CIRCLE STOP 1<br>A 93555-6100 | 009                  | 2818<br>DATE MAILED: 07/27/200 | 6                |  |  |  |

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 349 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 349 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.